



# ***US ARMY FINANCIAL MANAGEMENT COMMAND***



# **AUT**

## **Analysis of Unmatched Transactions**

United States Army Financial  
Management Command  
Operation Support Team

# AGENDA

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- # Background
- # Format
- # Basic Rules
- # Common Errors
- # Basic Solutions
- # Ca\$hLinkII
- # CIRA
- # DDS
- # Reports

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# BACKGROUND

# Analysis of Unmatched Transactions (AUT)

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Monthly exception report that lists unmatched deposit tickets and debit vouchers between any of the Federal Reserve Banks (FRB) and the paying DSSN.

An accumulative report which requires that each line (case) is worked daily to locate discrepancies between Treasury and Disbursing Officer's accountability.



# Relevance

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Data that appears on the AUT represents the accuracy that each DSSN is reporting on the Statement of Accountability each month.

Inaccurate statistical data remains on the report until discrepancies are resolved.

Unresolved discrepancies can result in Losses of Funds or Overages for responsible parties.

Although the correction of discrepancies and imbalances is key to clearing the AUT, the prevention of these imbalances must be enforced at all levels of disbursing operations. Cashiers, Disbursing Agents, Deputy Disbursing Officers and their staff must develop internal controls in order to properly report all transactions processed utilizing treasury based systems.

# Agencies Involved

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## # DSSN (DO/DDO/DA)

- Reports monthly Debits and Deposits via the DEMARS (Data Element Management Accounting Reporting System) report
- Provides aged line Justification Report
- Works individual imbalances

## # TREASURY

- Reports all E-Commerce related Debits and Deposits from the individual FRBs via Ca\$hLinkII

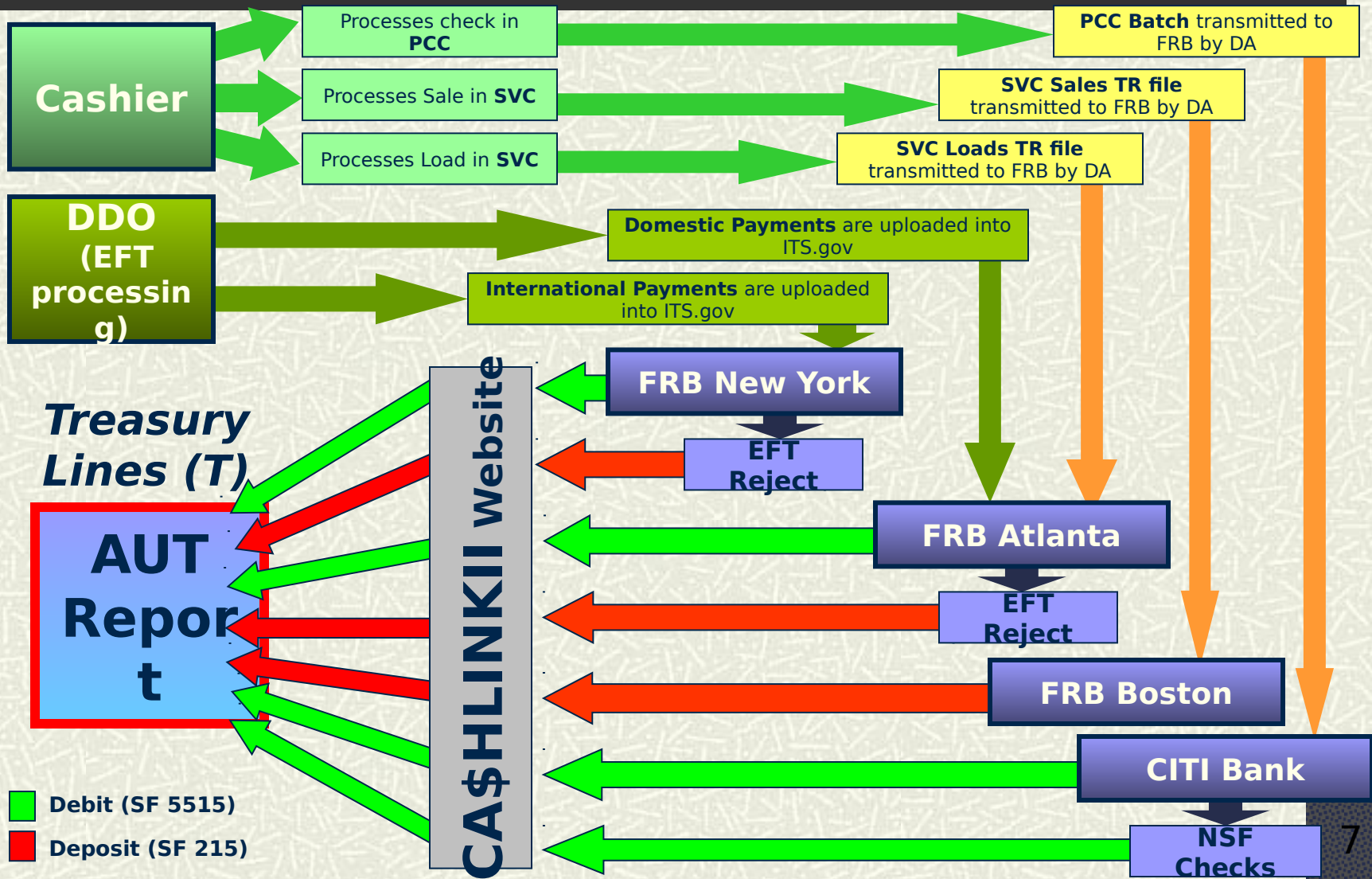
## # DFAS-INDY

- Compiles reports from DSSNs and Treasury

## # DFAS-Rome

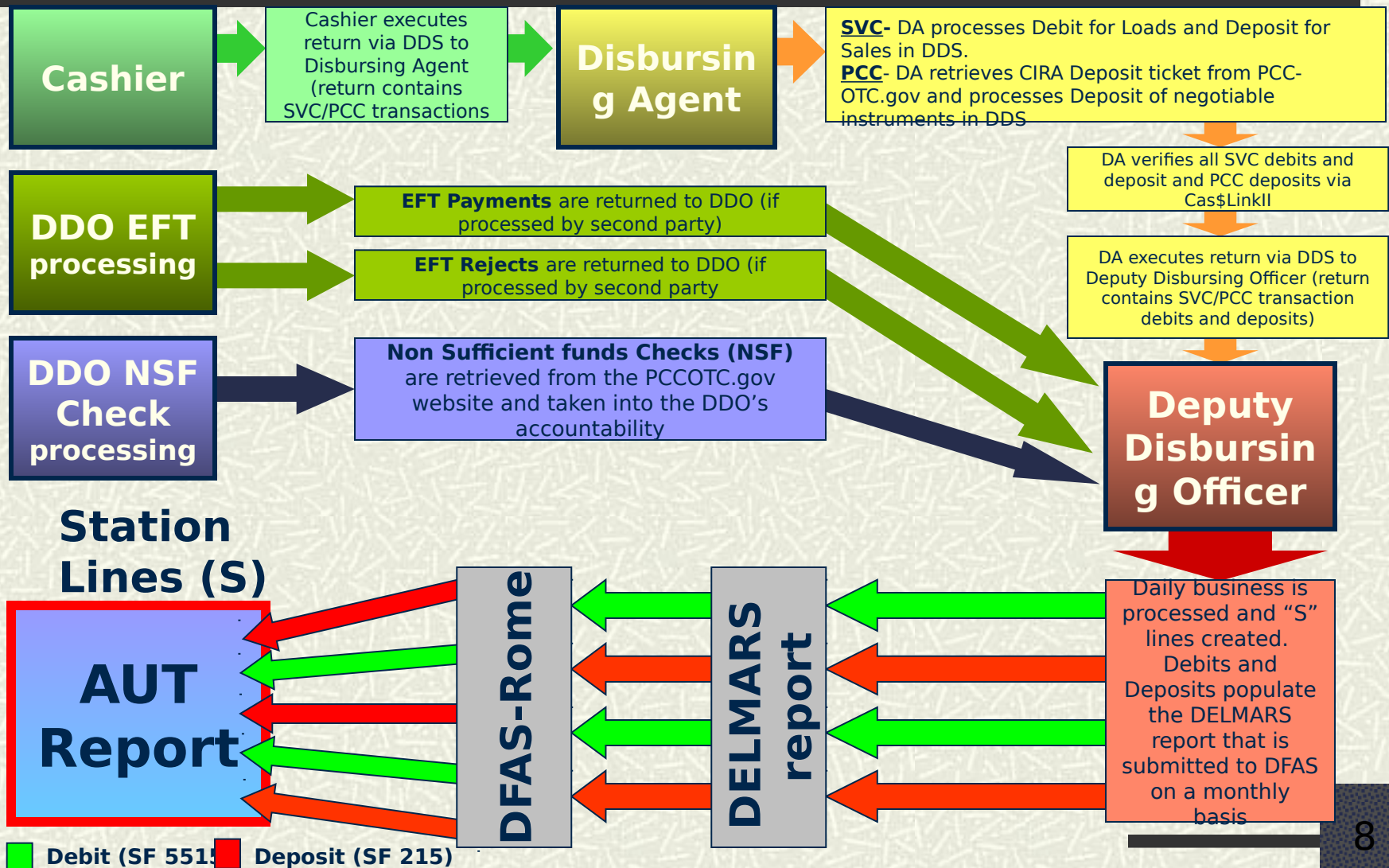
- Assist with manual matches in STANFINS (SRD-1)
- Assist with AUT clearance

# AUT Process (T- Lines)





# AUT Process (S- Lines)





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# FORMAT

# Elements

blank1	rt	macom	dssn	transcode	blank2	docnum	abanum	docdate	inputdt	amt	age
	T	76	5000	2400		00030519	011000015	20070723	1107	40	X
	T	76	5000	2400		00115106	011000015	20070801	0807	3220	X
	S	76	5000	4203		00310100		20070820	0807	-473	X

- **MACOM:** DFAS
- **DSSN:** Disbursing Station Symbol Number
- **TRANSCODE:** Type of transaction reported
- **DOCNUM:** Debit/Deposit Ticket number
- **ABANUM:** American Bankers Association (ABA) Number; identifies agency that posted the Debit or Deposit
- **DOCDATE:** Date transaction was processed
- **INPUTDT:** Accounting month transaction reported by ABA or Site
- **AMT:** Total amount debited or deposited for each transaction reported
- **AGE:** \*= 60-89 days; X= 90 days or older; based on docdate

# T Lines

blank1	rt	macom	dssn	transcode	blank2	docnum	abanum	docdate	inputdt	amt	age
	T	76	5000	2400		00030519	011000015	20070723	1107		40 X
	T	76	5000	2400		00115106	011000015	20070801	0807		3220 X
	S	76	5000	4203		00310100		20070820	0807		-473 X

- Transactions reported by the Treasury
- Transaction codes always equal “2400”
  - Positive amounts are deposit tickets
  - Negative amounts are debit vouchers
- Will have ABA numbers attached to them

# S Lines

blank1	rt	macom	dssn	transcode	blank2	docnum	abanum	docdate	bl	inputdt	amt	age
	T	76	5000	2400		00030519	011000015	20070723		1107	40	X
	T	76	5000	2400		00115106	011000015	20070801		0807	3220	X
S		76	5000	4203		00310100		20070820		0807	-473	X

- Transactions reported by the site (DSSN)
- TRANSCODE 4202 = deposit tickets
- TRANSCODE 4203 = debit vouchers
- Will not have American Bankers Association number (ABA) attached to them



# Common ABA's

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## **ABA 042000437- CITI Bank (Formerly FRB Cleveland)**

- Deposits tickets for batch listings received for checks cashed through PCC-OTC

- Debit vouchers for non-sufficient funds checks

*Note: Manual deposits will not be processed with this ABA.*

## **ABA 061000146- FRB Atlanta**

- Debit vouchers for Store Value Card (SVC) LOADS
- Debit vouchers for EFT payments to domestic banks
- Deposit tickets for EFT rejects from domestic banks
- Manual deposit tickets and manual debit vouchers

## **ABA 011000015- FRB Boston**

- Deposit tickets for Store Value Card (SVC) SALES

## **ABA 021001208- FRB New York**

- Debit vouchers for EFT payments to international banks
- Deposit tickets for EFT rejects from international banks

## **ABA 063000199- FRB Jacksonville**

- May also process debit vouchers for non-sufficient funds checks
- May also process manual deposits

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# BASIC RULES

# Lines are created when:

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- # Deposits/Debits are unreported or improperly reported by either agency:
  - Treasury
  - DSSN (DDO/DA)
- # Deposit Ticket/Debit Voucher numbers reported by DSSN **DO NOT** match numbers reported by the Treasury
- # Deposit Ticket/Debit Voucher amounts from DDS do not match amounts reported by the Treasury
- # Transaction processing months are mismatched
- # Transaction types are mismatched
- # Transactions miss end of month accounting cutoff

# Deposit Tickets

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- # Decrease DSSN accountability
- # Represented by the following:
  - Manual Deposits (un-scannable negotiable instruments, cash deposits, etc.)
  - PCC-OTC Scans
  - ECC Sales
  - Rejected/returned EFT transactions
  - EFT Payment recalls
  - Transaction accidentally submitted by external agencies (i.e., other DSSNs)



# Debit Vouchers

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- # Increase DSSN accountability
- # Represented by the following:
  - Insufficient Funds Checks (NSFs)
  - ECC/SVC Loads
  - EFT Transactions
- # Transaction accidentally submitted by external agencies (i.e., other DSSNs)

# Aged Lines

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- # Lines that are 60 days or older based on transaction processing date
  - Require monthly justification to DFAS-IN
- # Can result in a Loss of Funds or Overage

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# COMMON ERRORS

# Unreported Transactions

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## # Cause:

- Oversight/Negligence
- Transactions not properly reported into DDS
- Transactions not properly processed in ECC/PCC
- ECC/PCC transfer files not submitted to Treasury

## # Solution:

- Contact responsible agency
- Report transaction or correction into DDS
- Make additional input in ECC/PCC
- Report ECC/PCC files to Treasury



# Incorrect Document Number

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## # Cause:

- Accidentally entered incorrect document number
- Transaction merged with another transaction
- Reported incorrectly by ABA

## # Solution:

- Request DFAS-Rome to apply transactions to correct document number
- Request DFAS-Rome to process correction in STANFINS (SRD-1)
- Contact ABA agency for correction

# Transaction amounts mismatched

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## # Cause:

- Agency entered incorrect amount on document
- Transaction merged with another transaction

## # Solution:

- Research cause of imbalance utilizing DDS, PCC and Ca\$hLinkII; process transaction in DDS, SVC, PCC or ITS.gov if applicable.
- Determine portion of the transaction with the erroneous voucher/ticket number. Contact DFAS-Rome to apply the transaction to the appropriate line. If there is no line it can be applied to.

# Processing months are mismatched

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## # Cause:

- Agency processes transaction in the months following the original date. Matching S-Line could have missed accounting end of month cutoff.

## # Solution:

- Contact DFAS-Rome for STANFINS (SRD-1) for correction.
- Contact ABA agency for correction if applicable.



# Transaction types are mismatched

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## # Cause:

- Agency processes a supplemental transaction to correct a previous transaction
- STANFINS (SRD-1) input does not make intended adjustment; becomes a line

## # Solution:

- Additional STANFINS (done by DFAS-Rome) input
- Contact ABA agency for correction to correction



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# BASIC SOLUTIONS

# Daily tasks

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## # Do:

- Verify Cashlink II daily for EFT Rejects both domestic and international
- Verify CIRA for NSF SF 5515s daily
- Disbursing Agents and DDO Reconcile Cashlink with DD 2665 and DD2657
- Process incoming DA business daily

## # Don't:

- Process any Debit or Deposits in DDS or upload a file to any Treasury based system unless both are correct and in balance
- Wait until the end of the month to attempt to resolve aging discrepancies
- Forget to communicate errors to individual DAs
  - Errors not corrected will lead to continued AUT lines

# Clearing AUT lines

## # Receive current AUT from DFAS-

rt	macom	dssn	transcode	docnum	abanum	docdate	inputdt	amt	age
S	76	8830	4203	00000002		20081113	0209	-1100	*
S	76	8830	4202	00000002		20081113	0309	1100	*
S	76	8830	4202	00000002		20081113	0309	2520	*
S	76	8830	4202	00000003		20081114	0309	13017.1	*
S	76	8830	4202	00000004		20081117	0309	2910	*
S	76	8830	4202	00000005		20081118	0309	2935	*
S	76	8830	4202	00000006		20081119	0309	4160	*
S	76	8830	4202	00000007		20081120	0309	18520	*
S	76	8830	4202	00000008		20081121	0309	9970.48	*
S	76	8830	4202	00000009		20081124	0309	15255	*
S	76	8830	4202	00000010		20081125	0309	15210	*
S	76	8830	4202	00000011		20081126	0309	9149	*
S	76	8830	4202	00000012		20081128	0309	27410	*
S	76	8830	4202	00000015		20081202	0309	1891418.22	*

AUT will be received in an Excel spreadsheet format,  
once a month

# Correction Basics

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- # “S” Lines with incorrect transcodes, Ticket/Voucher numbers, and/or amounts must be processed within DDS to be corrected (must appear on DELMARS)
- # “S” Lines with incorrect inputdate and docdate can be corrected in STANFINS by DFAS-Rome.
- # Over or understated “T” Lines can be corrected by issuing an adjustment to PCC, ECC or ITS.gov when the station failed to submit a file if applicable.
- # Improperly processed “T” lines must be corrected by the issuing agency.



# Match entries

rt	macom	dssn	transcode	docnum	abanum	docdate	inputdt	amt	age
S	76	8830	4202	00000012		20081128	0309	27410	*
S	76	8830	4202	00000015		20081202	0309	1891418.22	*
S	76	8830	4202	00000016		20081202	0309	126868.78	*
T	76	8830	2400	00000046	042000437	20081218	1208	-200	*
T	76	8830	2400	00000050	042000437	20081219	1208	-200	*
T	76	8830	2400	00000090	042000437	20090120	0109	-3500	*
T	76	8830	2400	00000096	042000437	20090126	0109	19916.46	*
S	76	8830	4202	00000096		20090126	0309	16476.21	*
T	76	8830	2400	00000098	042000437	20090126	0109	-200	*
T	76	8830	2400	00000101	042000437	20090127	0109	13827.4	*
T	76	8830	2400	00000105	042000437	20090128	0109	1709.7	*
T	76	8830	2400	00000110	042000437	20090129	0109	2910	*
T	76	8830	2400	00000114	042000437	20090130	0109	2935	*
T	76	8830	2400	00000118	042000437	20090202	0209	4160	
T	76	8830	2400	00000121	042000437	20090203	0209	41252.6	
T	76	8830	2400	00000123	042000437	20090203	0209	119198.91	
T	76	8830	2400	00000124	042000437	20090203	0209	1020	
S	76	8830	4202	00000124		20090203	0209	1070	
T	76	8830	2400	00000131	042000437	20090204	0209	15210	

- ✚ Compare Treasury (T) lines that do not have matching Site (S) lines
  - Look @ docnum
    - Document number may have been input into DDS inaccurately
  - Look @ doc date
    - Locate S lines with similar docdate entries
      - Possible that DA did not process RFT to DDO daily, however treasury reported deposits/debits daily

# PCC

## Short S-Line vs. T-Line

T	76	8830	2400	00000096	042000437	20090126	0109	19916.46	*
S	76	8830	4202	00000096		20090126	0309	16476.21	*

Deposit

CITIBANK (FRB  
Cleveland)- PCC batches

Difference: \$3,440.25

- Calculate monetary difference (T-line - S-line)
  - Possible causes and solutions
    - Erroneous Doc-No used for a particular batch in DDS
      - Once remainder S-line is located on the AUT, contact DFAS-Rome to make match
    - Deposit for difference was not processed in DDS or returned to DDO by DA
      - Contact DA to locate PCC batch that was not deposited in DDS
      - Have DA process Deposit of checks in DDS then return Deposit to the DDO.

# PCC

## Short T-Line vs. S-Line

S	76	8830	4202	00000096		20090126	0109	19916.46	*
T	76	8838	2400	00000096	042000437	20090126	0309	16476.21	*

Deposit

CITIBANK (FRB  
Cleveland)- PCC batches

Difference: \$3,440.25

- ✚ Calculate monetary difference (S-line - T-line)
  - Possible causes and solutions
    - Erroneous Doc-No used for a particular batch
      - Once remainder T-line is located on AUT, contact DFAS-Rome to match overstated S-Line with standalone T-line
    - Batch was never transmitted but was deposited in DDS
      - Contact DA to transmit batch
      - Determine what deposit ticket FRB used and have DFAS-Rome match it to the balance on the original T-line when AUT comes out again



# SVC

## Short S-Line vs. T-Line

T	76	8830	4202	00232236	011000015	20091127	1109	2420
S	76	8830	2400	00232237		20091128	1109	2000

Deposit

FRB Boston- SVC Sales

Difference: \$420.00

- Calculate monetary difference (T-line - S-line)
  - Possible causes and solutions
    - Deposit/Debit was not processed in DDS by DA
      - Contact DA to process deposit/Debit and return to DDO
    - A SVC Sale/Load was not recorded in DDS
      - Obtain customer information if possible; process sale/load in DDS. If unable to contact customer, prepare to process possible overage/loss. If able to contact customer, process sale/load in DDS and record voucher number used. Contact DFAS-Rome to match the discrepancy on the next AUT.



# SVC

## Short T-Line vs. S-Line

T	76	8830	4202	00232236	011000015	20091127	1109	2000
S	76	8830	2400	00232237		20091128	1109	2420

Deposit

FRB Boston- SVC Sales

Difference: \$3,440.25

- Calculate monetary difference (S-line - T-line)
  - Possible causes and solutions
    - Individual TR file was never transmitted to FRB
      - Contact DA to submit TR file to FRB
      - Determine what debit/deposit ticket FRB used and have DFAS-Rome match it to the balance on the original T-line when AUT comes out next month
    - An additional Load or Sale may have been processed in DDS.
      - Contact DA in order to arrange for offsetting DDS input; cashier did not balance the business day this was processed.
      - Contact DFAS-Rome in order to match offsetting entry S line when AUT comes out

# Electronic Funds Transfer (EFT)

## Short S-Line vs. T-Line

T	76	8830	2400	00060519	061000146	20081125	1108	-89985.84
S	76	8830	4203	00060519		20081125	1108	-89000.84

Debit

FRB Atlanta (Domestic  
EFT Payments)

Difference: \$985.00

### ❏ Calculate monetary difference (T-line - S-line)

- Possible causes and solutions
  - Erroneous Doc-No used when individual payment input was made in ITS.gov
    - Determine debit voucher used for individual payment, locate T-Line in AUT and request DFAS-Rome to make match
  - Erroneous Doc-No used when individual payment input was made in DDS
    - Determine debit voucher used for individual payment, locate S-Line in AUT and request DFAS-Rome to make match
  - Payment difference was never processed in DDS
    - Process payment difference using appropriate Doc-No

# Electronic Funds Transfer (EFT)

## Short T-Line vs. S-Line

T	76	8830	2400	00060519	061000146	20081125	1108	-89000.84
S	76	8830	4203	00060519		20081125	1108	-89985.84

Debit

FRB Atlanta (Domestic  
EFT Payments)

Difference: \$985.00

### ⚠ Calculate monetary difference (S-line - T-line)

- Possible causes and solutions
  - Erroneous Doc-No used when individual payment input was made in DDS
    - Determine debit voucher originally intended for individual payment, locate T-Line in AUT and request DFAS-Rome to make match
  - Individual payment was never input in ITS.gov
    - Make input in ITS.gov using appropriate Doc-No



# EFT Rejects

T	76	8830	2400	00060519	021001208	20081125	1108	10500.63
---	----	------	------	----------	-----------	----------	------	----------

FRB Atlanta (Domestic  
EFT Payments)

Deposit

- Treasury (T) entry
  - ABA 021001208
  - Amount is positive
- Go into Ca\$hLinkII
  - Query using deposit ticket number (0060519)
  - Print Ca\$hLinkII deposit details
  - Process EFT reject in DDS
- Once input is made into DDS a Site (S) entry will be generated
  - Site (S) entry will automatically cancel out T entry on next AUT provided that all information was input into DDS correctly



# NSF Checks

T	76	8830	2400	00000327	042000437	20090317	0309	-350
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CITIBANK (FRB  
Cleveland)- PCC batches

Debit

- # Treasury (T) entry
  - ABA 042000437
  - Amount is negative
- # Go into PCC-OTC (CIRA)
  - Query using debit voucher number (00000327)
  - Print actual image of NSF check
  - Process NSF check in DDS
- # Once input is made into DDS to report NSF a Site (S) entry will be generated
  - Site (S) entry will automatically cancel out T entry on next AUT provided that all information was input into DDS correctly

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# CASHLINK II

# CA\$HLINK II

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CA\$HLINK II is an electronic cash concentration, financial information, and data warehouse system used to manage the collection of U.S. government funds and to provide deposit information to federal agencies.

- # Deposit transactions reported by financial institutions and FRB's are available in CA\$HLINK II within 1 or 2 days after reporting by the depository
- # Use of CA\$HLINK II as a tool for deposit reconciliation is mandatory for all disbursing offices within the Department of Defense

# CA\$HLINK II (research)

**CA\$HLINK II**

Home Functions Inquiries Outputs Notifications Support Help Logoff

**Login Inform**

Agency Voucher Query

You are now logged into the CA\$HLINK II System  
Last successful login: 03-16-2008 , 02:49:21 EDT  
Number of failed attempts since last successful login: 1

**Message Board**

Welcome to CA\$HLINK II Web Site! To download the CA\$HLINK II client, please click "Support" from top menu.

**Notifications**

You have 0 Alert Notifications  
You have 0 Informational Notifications  
You have 0 Work Flow Notifications

View Notifications

**Select Inquiries/Agency Voucher Query**



# CASHLINK II (research cont'd)

**Agency Voucher Query**

**1** Enter DSSN preceded by 4 zeroes or complete ALC if applicable (additional 2 digits for sub-site)

Reporting Symbol(s):

**2** Enter DV or DB voucher number to include all zeroes

Choose an ALC Group:

Voucher Number:

Voucher Audit Number:

CAN:

**3** Enter exact money amount on both fields unless searching for an amount range

Voucher Amount From:

Voucher Amount To:

**4** Select transaction range in order to retrieve a desired transaction timeframe

Voucher Date From:

Voucher Date To:

Deposit Date From:

Deposit Date To:

Process Date From:

Process Date To:

Process Period:

Account Type(s):

Sort By:

**5** Click Submit to view results

# CASHLINK II (research cont'd)

03/16/2008 3:03 AM

## Agency Voucher Summary Report

Select details to view further information on the transaction

result(s) Found

ALC	Voucher Number	Voucher Date	Voucher Amount	ABA Number	Account Type	Process Date	CAN	Form Code	Origin	Voucher Details	REX/ACH Info or Agency Fedwire Message Info
00005579	003411	02/26/2008	\$1,575.00	042000437	FRB PCC	02/26/2008	000368	215	FRB	<a href="#">Details</a>	

### Agency Voucher Detail Report for Voucher Audit Number 2008022604914

Voucher Details		Voucher Summary	
Voucher Audit Number:	2008022604914	Voucher Amount:	\$1,575.00
Account Type:	FRB PCC	Voucher ALC:	\$0.00
Voucher Number:		Voucher Date:	\$1,575.00
Voucher Date:		ALC:	1
Voucher Amount:		Voucher Type:	0
Voucher ALC:		Process Date:	1
ALC:		CAN:	
Voucher Type:		ABA:	
Process Date:		Agency Use Block 6:	
CAN:		Comments:	
ABA:		Voucher Status:	
Agency Use Block 6:		Source Type:	
Comments:		Trace Number:	
Voucher Status:		Deposit Date:	
Source Type:		Credit or Debit Indicator:	
Trace Number:		Transfer Date:	
Deposit Date:			
Credit or Debit Indicator:			
Transfer Date:			

03/16/2008 03:06 AM

### CASHLINK II Voucher Query - Details

Voucher Audit Number	2008022604914	Voucher Status	PROCESSED
Account Type	FRB PCC	Source Type	FRB
Voucher Number	003411	Trace Number	L0057911
Voucher Date	02/26/2008	Deposit Date	02/26/2008
Voucher Amount	\$1,575.00	Credit / Debit Indicator	CREDIT
Voucher ALC	00005579	Created By	FRB
ALC	00005579	Created Date	2008-02-26 20:15:35.0
Voucher Type	215	Modified By	
Process Date	02/26/2008	Deposit Report Audit Number	2008022601429
CAN	000368	Treasury Account Symbols	N
ABA	042000437	Indicator	
Corrected Voucher ID		Customs Information Indicator	N
Debit Reason		CASHTRACK Amounts Indicator	N
Adjustment Type		Tax Classification Code Indicator	N

Adjustment Voucher Number 0

Agency Use Block 6

Comments

Click Print to see CashLinkII voucher version



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# CIRA

# CIRA

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- # All Checks processed through PCCOTC listed in CIRA
  - Returned Checks debit vouchers information listed in CIRA
  - View actual Check once scanned
- # Used as reconciliation tool
  - Query by DA batch
  - Identify which check or batch was processed through Treasury and not processed into DDS



# CIRA Reports (research)

U.S. Treasury Paper Check Conversion Over the Counter

Monday, January 4, 2010

## Home

Home

Location

Verification

CIRA Query

Reports

About PCC OTC

Logout

Select Reports  
to retrieve a SF  
215 or SF 5515



U.S. Treasury  
Paper Check Conversion Over the Counter

### **WARNING: Information Protection**

You are using an Official United States Government System, which may be used only for authorized purposes. Unauthorized modification of any information stored on this system may result in criminal prosecution. The Government may monitor and audit the usage of this system, and all persons are hereby notified that the use of this system constitutes consent to such monitoring and auditing. Unauthorized attempts to upload information and/or change information on these web sites are strictly prohibited and are subject to prosecution under the Computer Fraud and Abuse Act of 1986 and Title 18 U.S.C. Sec. 1001 and 1030.

# CIRA Reports (research cont'd)

U.S. Treasury Pay System for CIRA Reports Monday, January 4, 2010

**Report Selection**

**Home**

**Location**

**Verification**

**CIRA Query**

**Reports**

**About PCC OTC**

**Logout**

**SF 215s issued by FRB after receipt of PCC batches from individual ALCs**

**SF 5515's issued by FRB for any Non Sufficient Checks (NSF) for your ALC**

<a href="#">Agency CIRA Report</a>	<a href="#">LVD Contents Report</a>
<a href="#">Location Hierarchy Report</a>	<a href="#">Location Check Cashing Policy Report</a>
<a href="#">215 Deposit Ticket Report</a>	<a href="#">5515 Debit Voucher Report</a>
<a href="#">CIRA CSV Report</a>	

[Adobe Reader](#) is required to download and view reports

## 215 Deposit Ticket Report

From Date: 12/01/2009 To Date: 12/31/2009

**215 - Deposit Ticket**  
 ALC: 0000855001 Deposit Ticket No: 000517 Fiscal Agent: FRB Cleveland Settlement Date: 12/03/2009

### 215 - Detail

ALC: 0000855001 Location Name: Anaconda Central Funding

Cashier ID	Transaction Date	Summary Count	Summary Amount
Sylvia Murphy	12/02/2009	1	\$7,300.00
<b>Total ALC:</b> 0000855001		1	\$7,300.00

**215 - Deposit Ticket**  
 ALC: 0000855001 Deposit Ticket No: 000518 Fiscal Agent: FRB Cleveland Settlement Date: 12/09/2009

### 215 - Detail

ALC: 0000855001 Location Name: Anaconda Central Funding

Cashier ID	Transaction Date	Summary Count	Summary Amount
Sylvia Murphy	12/08/2009	1	\$12,178,000.00
<b>Total ALC:</b> 0000855001		1	\$12,178,000.00

## 5515 Debit Voucher Report

From Date: 12/01/2009 To Date: 12/31/2009

Location: U S ARMY Fiscal Agent: FRB Cleveland  
 Location Name: 0000557912 Description: FOB Hammer Baghdad Settlement Date: 12/01/2009

Debit Voucher Number	Unique Transaction ID	Date of original Transaction	Original CASH LINK	\$ Amount	Cashier ID	Return Reason Code
300674	170828770225000000522	10/19/2009	006882	\$1.00	Nicole Loritts	06 - Returned per ODFI Request

Summary number of transactions: 1  
 Summary of total dollars: \$1.00

Location Name: 0000557901 Description: Camp Liberty Settlement Date: 12/03/2009

Debit Voucher Number	Unique Transaction ID	Date of original Transaction	Original CASH LINK	\$ Amount	Cashier ID	Return Reason Code
300676	150914770215900002193	12/01/2009	007048	\$100.00	Michael J Kilpatrick	16 - Account Frozen

Summary number of transactions: 1  
 Summary of total dollars: \$100.00

# CIRA SF 5515 Check image (research cont'd)

U.S. Treasury Paper Check Conversion Over the Counter

Monday, January 4, 2010

## Home

Home

Location

Verification

CIRA Query

Reports

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Logout

Select CIRA Query to view SF 215 or SF 5515 transaction details such as batch numbers that compose SF 215s or NSF Check images for SF 5515s.



U.S. Treasury  
Paper Check Conversion Over the Counter

### **WARNING: Information Protection**

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# CIRA SF 5515 Check image (research cont'd)

Location  Form Name

Incl  Deploy Date

Generic Field  GENERIC\_FIELD2

GENERIC\_FIELD  GENERIC\_FIELD4

Account  Bank Routing Number  Status

IRN  Cashier ID  Check Amount

Check Number  Batch ID

5515/Debit Voucher Number  215/Deposit Ticket Number

Received Date From  To

Check Capture Date From  To

Settlement Date From  To

Return Settlement Date From  To

**View Items** Count Reset Cancel

Use Debit Voucher  
obtained in the  
reports module

Select View  
Items

Select Item

Item	ALC	Capture Date	Bank Routing Number	Account Number	Check Amount	Cashier ID	Check Type	Processing Mode	Batch ID
150914770215900021193	0000557901	12/01/2009 13:39:39			\$100.00	Michael J Kilpatrick	Personal	Present	839A1970-0F8D-4796-94C8-6BD4F98EFBD5



# CIRA (research cont'd)

## CIRA Detail

IRN	150914770215900021193
ALC or DSSN Code	0000557901
Capture Date	12/01/2009
Bank Routing Number	
Bank Account Number	
Cashier ID	Michael J Kilpatrick
Check Type	Personal
Check Number	2547
Check Amount	\$100.00
Present	
12/01/2009 08:46:51	
Retired	
007048	
5515/Debit Voucher	300676
Settlement Date	12/02/2009
Return Settlement Date	12/02/2009
Batch ID	839A1970-58D-4796-94C8-6BD4F98EFBD5
<a href="#">Show Config Fields</a>	

Once the item appears, select Show Image

JOHN SMITH  
123 Sierra Road, AZ 85635  
(132) 456-7890

SGT 1234567890  
C CO 250th MI BN  
BA NATIONAL GUARD  
30 NOV 09

2547  
91-7458/3221

Date

PAY TO THE ORDER OF FAO DSSN 1234 \$ 100.00

I CONSENT TO COLLECTION FROM MY PAY THE AMOUNT ONE HUNDRED 00/100 Dollars

OF THIS CHECK PLUS BANK OF THE AMERICAN SOUTHWEST P.O. Box 370 Sierra Vista, AZ 85636

For

00013062147530 745882131

Verification Detail

Show Image

Show History

Print Details

Close Window

---

# DDS

# DDS (SF 215 research)

DDS [CIN-48626585] - [Deposit Ticket Query]

File Processing Vault Check/EFT Reports System Accounting Screen Window Help

Site Id: 00001 15TH FINANCIAL MANAGEMENT COMPAN  
Curr: 10001 ALPHA DETACHMENT  
Code: 11001 JULIET DET  
PCC Batch: 11111 EST SITE A  
Number: 12001 KILO DET  
13001 LIMA DET  
14001 MIKE DET  
15001 NOVEMBER DET  
16001 OSCAR DET  
17001 PAPA DET

Deposit Tkt Nbr: 123456  
Type: CASH  
CHECK  
EFT REJECT  
SVC  
TC  
IRN:

Calendar

January 2010

S	M	T	W	T	F	S
27	28	29	30	31	1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31	1	2	3	4	5	6

04-JAN-2010

MO  
OT  
PC  
SB  
SV  
TC  
TV

# DDS (SF 215 research cont'd)

## Deposit Ticket

Site Id	Deposit Ticket Number	Deposit Date	Account	Curr Cd	Amt	Create Date	Create User Id	Confirm Date	Confirm User Id	Vault Code	Loss/Gain Amount	Rpt Acc Ind	Rpt to Out Ind	Back Rpt Ind	LDA Ind	1081 Site Id
13001	2	11/24/2009	US	US	1,250.00	11/30/2009	D13	11/24/2009	D13		.00	N	N	N	N	13001

- **PCC Deposits** will show the individual checks deposited
- **SVC Sale deposits** will show the individual sales
- **EFT Rejects** will show the rejects processed under a particular deposit ticket number

## Deposit Ticket Details

Type	NI Number	NI Type	NI Date	Amount	Exchange Rate	US Equivalent	Name	SSN	IRN	PCC Batch Number
NI	67676767	SV	11/24/2009	200.00	1	200.00	SMITH, JASON	458967598		
NI	564543	SV	11/24/2009	150.00	1	150.00	LAWRANCE, MICKEY	697451231		
NI	907865	SV	11/24/2009	75.00	1	75.00	MATTHEWS, SALLY	564963219		
NI	56432	SV	11/24/2009	100.00	1	100.00	PETERSON, PETER	569851497		
NI	78990	SV	11/24/2009	200.00	1	200.00	PERKINS, TAMMY	457364125		
NI	323343	SV	11/24/2009	300.00	1	300.00	VIRGINIA, VAL	698461236		
NI	78676	SV	11/24/2009	150.00	1	150.00	PARKER, TOM	145984532		



# DDS (SF 5515 research)

DDS [CIN-48626585] - [Debit Voucher Query]

File Processing Vault Check/EFT Reports System Accounting Screen Window Help

Site Id Curr Code Date Search Operator Voucher Date IRN

00001 15TH FINANCIAL MANAGEMENT COMPAN  
10001 ALPHA DETACHMENT  
11001 JULIET DET  
11111 1ST SITE ALPHA 123456  
US Treasury  
LDA  
SVC Loads  
EFT  
Navy Marine Cash  
US Treasury Cash  
LDA Cash

F1  
F2  
FC  
US

equal to  
less than  
less than or equal to  
greater than  
greater than or equal to

PC  
TV  
MO  
SB  
SV  
OT

11/24/09

Batch Number

S1D5S4D2S1545D2S45123232542452

# DDS (SF 5515 research)

- **PCC Debits-** will show the individual NSF checks processed
- **SVC Sale Debits-** will show the individual loads
- **EFT Payments-** will show the rejects processed under a particular deposit ticket number

Debit Voucher													
Site Id	Debit Voucher	Debit Type	Curr Code	Date	Amount	US Equivalent	Create Date	User Cd	Certify Date	Certify User Cd	Acct Id	Rep BO Id	Rep DEBIT
13001	6756	SVC LOADS	US	11/24/2009	1250.00	1250.00	11/24/2009	D13	11/24/2009	D13	N	N	N

NI Details													
Curr Code	NI Number	NI Type	NI Date	Amount	Rate	US Equivalent	Name	SSN	IRN	Batch Number	Interface User ID	Type	DO Code Fee
US	1111101100000403282	SV	11/30/2009	200.00	1	200.00	REYES ISRAEL	450899887		190000BEDE34F83B844050B63A5EA97989140F	ADMIN1		
US	1111101100000403282	SV	11/30/2009	150.00	1	150.00	REYES ISRAEL	450899887		190000BEDE34F83B844050B63A5EA97989140F	ADMIN1		
US	1111101100000403282	SV	11/30/2009	75.00	1	75.00	REYES ISRAEL	450899887		190000BEDE34F83B844050B63A5EA97989140F	ADMIN1		

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# Reports

# DELMARS

DELMARS INTERFACE FILE, I0911001.dlm

CREATED NOV,2009 01/06/2010 12:01

DI	Deposit Number	Debit Voucher Number	Date	Amount	TCD	SCD	DSSNFEDRI	RT
21	000000001		091124	00000000125000	420	2	85500AR	4
21	000000002		091124	00000000125000	420	2	85500AR	4
21	00230407		090716	00000000066800	420	2	85500AR	4
21	00004764		090716	00000000100000	420	2	85500AR	4
21		00006756	091124	00000000125000	420	3	85500AR	4
21		000000001	090820	0000014914530	420	3	85500AR	4
21		00525487	090820	00000000015000	420	3	85500AR	4
21		00613452	090820	00000000022000	420	3	85500AR	4
21		00625325	090819	00000000032500	420	3	85500AR	4
21		00055935	090716	00000000100000	420	3	85500AR	4



# DELMARS

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## # Data Element Management Accounting Reporting System (DELMARS)

- Due to DFAS-Rome at accounting EOM
- Processed and input in STANFINS (SRD-1) by DFAS-Rome
- Individually lines all debit and deposits processed in DDS by the DSSN (S-Lines)

# Justification

PMI--EXPLANATION FOR DEPOSIT DIFFERENCES OVER 60 DAYS OLD					
<b>NOTE:</b> This report is due any month your location has a transaction over 60 days old.					
Please submit to: Dawnn Adams (dawnn.adams@dias.mil)					
DSSN ==>	5000				
AUT DATA==>	May 09 Data				
DSSN CONTACT PERSON=>					
EMAIL ADDRESS==>					
<i>Instructions: Complete the Reason, Plan of Action, and Estimated Resolution Date</i>					
		UPDATED AMOUNT TO BE JUSTIFIED IF AFFECTED BY FORCED MATCHES			
<b>AUDIT MONTH</b>	<b>AMOUNT</b>		<b>REASON FOR THE DIFFERENCE</b>	<b>PLAN OF ACTION TO RESOLVED THE DIFFERENCE</b>	<b>ESTIMATED RESOLUTION DATE</b>
Nov-08	536,452.36				
Dec-08	2,132,325.81				
Jan-09	3,700.00				
Feb-09	(358,007.02)	(341,129.02)	Justifiable balance reduced by FM 495044, 495048. Please justify the remaining (\$341,129.02)		
Mar-09	100,792.20	93,099.75	Justifiable balance reduced by FM 62031.62027, 495048. Please justify the remaining \$93,099.75.		

# Justification

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- # Aged AUT justification spreadsheet
  - Due by EOM
  - Accounting Services, DFAS-IN
  - Line by line explanations of aged transactions
    - List corrective actions
    - Projected completion month

# Statement of Differences

GOALS II Information Access System (IAS)

Report type: IAS 513  
 Report name: Statement of Differences - Deposits  
 Run date: Jun 4 2009 7:49AM  
 User ID: DADAMS  
 Accounting period: 200905  
 ALC: -- All --  
 Age: -- All --

ALC: 00008830		Accounting Period: May 2009		Audit Month: Nov 2007
Ref Num	Reporting Date	Rptng Symbol	Accounting Reports Submitted by Agencies	Agency Control Accts Maintained by Treasury
	03/31/2009	00002100	-800.00	
	12/31/2008	00002100	3,107.00	
Totals:			2,307.00	0.00
Amount of Difference:				2,307.00

ALC: 00008830		Accounting Period: May 2009		Audit Month: Nov 2008
Ref Num	Reporting Date	Rptng Symbol	Accounting Reports Submitted by Agencies	Agency Control Accts Maintained by Treasury
	01/31/2009			3,107.00
	12/31/2008			49,800.42
	11/30/2008			-59,862,289.75
	05/31/2009	00002100	458,454.88	
	04/30/2009	00002100	199,959,247.90	
	03/31/2009	00002100	50,122,956.58	
	02/28/2009	00002100	-100,001,100.00	
	01/31/2009	00002100	-89,892,935.05	
	12/31/2008	00002100	286,527.98	
	11/30/2008	00002100	-120,206,082.26	
Totals:			-59,272,929.97	-59,809,382.33
Amount of Difference:				536,452.36



# Statement of Differences

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- # Received from Treasury
- # Differences reported by processing month
- # Aged amounts must be justified monthly

# Deposit Cleared Report

DEPOSITS CLEARED REPORT					RUN DATE 09/06 ACCOUNTING DAT
DOCUMENT NUMBER	DATE PRESENTED	TRANSACTION CODE	MICRO NUMBER	INPUT DATE	DETAIL DOLLAR AMOUNT
00000011	08/11/26	4203		0509	9,149.00-
00000011	08/11/26	4202		0309	9,149.00
00000012	08/11/28	4203		0509	27,410.00-
00000012	08/11/28	4202		0309	27,410.00
00000046	08/12/18	2400	042000437	1208	200.00-
00000046	08/12/18	4203		0509	200.00-
00000121	09/02/03	2400	042000437	0209	41,252.60
00000121	09/02/03	4202		0509	41,252.60
00000124	09/02/03	2400	042000437	0209	1,020.00
00000124	09/02/03	4203		0509	1,070.00-
00000124	09/02/03	4202		0509	1,020.00
00000124	09/02/03	4202		0209	1,070.00
00000136	09/02/05	2400	042000437	0209	33,509.00
00000136	09/02/05	4203		0509	1,700.00-
00000136	09/02/05	4202		0309	1,700.00
00000136	09/02/05	4202		0509	33,509.00
00000142	09/02/06	2400	042000437	0209	3,050.00
00000142	09/02/06	4202		0509	3,050.00
00000144	09/02/09	2400	042000437	0209	23,755.00
00000144	09/02/09	4203		0509	26,711.00-
00000144	09/02/09	4202		0509	23,755.00
00000144	09/02/09	4202		0309	26,711.00
00000146	09/02/09	2400	042000437	0209	677.00
00000146	09/02/09	4203		0509	875.00-
00000146	09/02/09	4202		0509	677.00
00000146	09/02/09	4202		0209	875.00
00000150	09/02/09	2400	042000437	0209	200.00-
00000150	09/02/09	4203		0509	200.00-
00000155	09/02/10	2400	042000437	0209	53,390.00
00000155	09/02/10	4203		0509	29,150.00-
00000155	09/02/10	4203		0509	22,540.00-
00000155	09/02/10	4202		0409	22,540.00

# Deposit Cleared Report

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- # Received from DFAS-IN
- # Lists all Treasury Deposits and Debits that matched Deposits and Debits made by DSSN
- # Used only as reference

# Forced Matched

## FORCED MATCHED - DEPOSITS CLEARED REPORT

RUN DATE 09/06/04  
ACCOUNTING DATE MAY 09

PCN HDL-920  
MACOM 76  
DSSN 8830

RT	DOCUMENT NUMBER	DATE PRESENTED	TRANSACTION CODE	MICRO NUMBER	INPUT DATE	DETAIL DOLLAR AMOUNT	NET DOLLAR AMOUNT	ADJ RECORD CREATED
S	00062661	09/05/04	4203		0509	71,313.52-	71,313.52-	
T	00062665	09/05/07	2400	061000146	0509	71,313.52-	71,313.52-	
S	00061069	09/05/26	4203		0509	15,689.44-	15,689.44-	
T	00061070	09/05/18	2400	061000146	0509	15,689.44-	15,689.44-	
S	00630139	09/05/26	4203		0509	14,142.39-	14,142.39-	
T	00063139	09/05/13	2400	061000146	0509	14,142.39-	14,142.39-	
S	00062031	09/03/30	4203		0309	7,240.71-	7,240.71-	X
T	00062031	09/05/06	2400	061000146	0509	7,240.71-	7,240.71-	
S	00062027	09/03/30	4203		0309	1,944.84-	1,944.84-	X
T	00062027	09/05/06	2400	061000146	0509	1,944.84-	1,944.84-	
S	00494076	09/04/13	4202		0509	717.98	717.98	
T	00494077	09/04/16	2400	011000015	0409	717.98	717.98	
S	00495044	09/03/30	4202		0309	2,670.00	2,670.00	X
T	00495044	09/02/17	2400	011000015	0509	2,670.00	2,670.00	
S	00494077	09/04/16	4202		0509	3,000.00	3,000.00	
T	00494076	09/04/13	2400	011000015	0409	3,000.00	3,000.00	
S	00000613	09/05/08	4202		0509	6,050.00	6,050.00	
T	00494099	09/05/15	2400	011000015	0509	6,050.00	6,050.00	
S	00495048	09/03/30	4202		0309	14,208.00	14,208.00	X
T	00495048	09/02/21	2400	011000015	0509	14,208.00	14,208.00	
S	00883002	09/05/26	4202		0509	19,622.74	19,622.74	
T	00000637	09/05/13	2400	042000437	0509	19,622.74	19,622.74	
						DSSN VARIANCE	.00	
						MACOM VARIANCE	5,300.00	



# Forced Matched

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- # Deposit/Debits that the system matches automatically.
- # May Cause discrepancies when lines that are not supposed to be matched are matched
- # Matches made because:
  - Same \$ amount
  - Same Processed Month or
  - Same Document number

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# Summary

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The AUT is a tracking tool that allows the Treasury and Disbursing Officer to **EVALUATE AND ENSURE** the proper utilization and balancing of Treasury system based transactions (debits and deposits).

When reviewed and worked properly it can be used to detect improperly processed or reported transactions, correct imbalances and ultimately, **prevent fraud**.

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# Questions?